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MAR 22 1999  
PATENT & TRADEMARK OFFICE  
JC52

Attorney's Docket No.: 80398.P158

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Proehl, et al.

Application No.: 09/218,119

Filed: December 21, 1998

For: Method and Apparatus for Notification on a  
Broadcast Device

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)**

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed January 20, 1999, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

On 3/19/99

Date of Deposit

Name of Person Mailing Correspondence

Cher Minkenbeard

Signature

Date

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3-19-99

  
Richard L. Gregory, Jr.  
Reg. No. 42,607

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598



## FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 130.00

## Complete if Known:

Application No. 09/218,119Filing Date 12/21/98First Named Inventor Proehl, et al.Group Art Unit 2711Examiner Name Not yet assignedAttorney Docket No. 80398.P158

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number \_\_\_\_\_  
Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. X Payment Enclosed

X Check  Money Order  Other

## FEE CALCULATION (fees effective 10/01/97)

## 1. FILING FEE

## Large Entity

## Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	760	201	380	Utility application filing fee	_____
106	310	206	155	Design application filing fee	_____
107	480	207	240	Plant filing fee	_____
108	760	208	380	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1) \$					

## 2. CLAIMS

Total Claims \_\_\_\_\_

- 20 =

ExtraFee from  
below

Fee Paid

Total Claims _____	- 20 =	<u>X</u>	_____	=	_____
Independent Claims _____	- 3 =	<u>X</u>	_____	=	_____
Multiple Dependent Claims		<u>X</u>	_____	=	_____

## Large Entity

## Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of twenty	_____
102	78	202	39	Independent claims in excess of 3	_____
104	260	204	130	Multiple dependent claim	_____
109	78	209	39	Reissue independent claims over original patent	_____
110	18	210	9	Reissue claims in excess of 20 and over original patent	_____
SUBTOTAL (2) \$					

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	380	216	Extension for response within second month
117	870	217	Extension for response within third month
118	1,360	218	Extension for response within fourth month
128	1,850	228	Extension for response within fifth month
119	300	219	Notice of Appeal
120	300	220	Filing a brief in support of an appeal
121	260	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application
141	1,210	241	Petition to revive unintentionally abandoned application
142	1,210	242	Utility issue fee (or reissue)
143	430	243	Design issue fee
144	580	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	240	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	760	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	760	249	For each additional invention to be examined (see 37 CFR 1.129(a))
Other fee (specify)			
Other fee (specify)			

SUBTOTAL (3)\$ 130.00

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Richard L. Gregory, Jr.

Signature R.L. Gregory Date 3-19-99

Reg. Number 42,607 Deposit Account User ID 02-2666

#### FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 3/19/99 Date of Deposit

Cheri Clinkenbeard

Name of Person Mailing Correspondence

C Clinkenbeard Date 3/19/99

Signature

Date



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

RECEIVED  
MAY 25 1999  
APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY, DOCKET, NO./TITLE

09/218, 119 12/21/98 PRDEHL

80348-P158

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD  
LOS ANGELES, CA 90025

RECEIVED  
0212  
JAN 25 1999  
ASSIGNED  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

DATE MAILED:

01/20/99

Sony

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*

small entity (statement filed)  non-small entity is \$

1. The statutory basic filing fee is:

missing.  
 insufficient.

Applicant must submit \$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$, including any multiple dependent claim fees, are required.

\$ for independent claims over 3.

\$ for dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**